SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>ATI Investment Parent, LLC</u>					2. Issuer Name and Ticker or Trading Symbol <u>Array Technologies, Inc.</u> [ARRY]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner					
(Last) (First) (Middle) 3901 MIDWAY PLACE NE					3. Date of Earliest Transaction (Month/Day/Year) 12/07/2020								Officer (give title Other (specify below) below)					
(Street) ALBUQUERQUE NM 87109			— 4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person						
(City)	(St	ate)	(Zip)											1 0130				
		Tab	le I - Non-Deri	vative	Securi	ties	Acq	uire	ed, Disp	ose	d of,	or B	enefi	cially Own	ed			
Date Exe (Month/Day/Year) if a			Executio if any	A. Deemed kecution Date, any lonth/Day/Year)		3. Transaction Code (Instr. 8)					d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			n: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							e V	ļ	Amount		(A) or (D)	Price						
Common per share	· · ·	value \$0.001	12/07/2020			S ⁽¹⁾		3	36,656,250 ⁽¹⁾ I		D	\$3	3.95	35,713,217 ⁽	(2)(3)(4)(5)(6) D ⁽)(3)(4)(5)(6)	
		Т	able II - Deriva (e.g.,						l, Dispo tions, co						d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yea	r) if any	3A. Deemed Execution Date,4.Transaction				Expi	Date Exercisable and xpiration Date Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficiall Owned Following Reported	Securities Beneficially Owned Following Reported Transaction(s)		11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)		Date Exer		Expira Date		Title	Amoun or Numbe of Shares	r				
		Reporting Perso																
(Last)		(First)	(Middle)															
(Street) ALBUQ	UERQUE	NM	87109															
(City)		(State)	(Zip)															
		Reporting Perso	on [*] ANAGEMEN	T INC														
	FIELD PL	(First) ACE SUITE 300	(Middle)															
(Street) TORON	ТО	A6	M5J 2T3															
(City)		(State)	(Zip)															
		Reporting Perso Dpportunitie	n [*] es Fund IV, L	<u>.P.</u>														
(Last) 333 SOU		(First) ID AVENUE, 3	(Middle) 28TH FLOOR															

(Street) LOS ANGELES	CA	90071
(City)	(State)	(Zip)
1. Name and Address Oaktree Power (Parallel), L.P.	of Reporting Person [*] Opportunities Fu	<u>ınd IV</u>
(Last) 333 SOUTH GRA	(First) ND AVENUE, 28TH	(Middle) I FLOOR
(Street) LOS ANGELES	СА	90071
(City)	(State)	(Zip)
1. Name and Address Oaktree ATI In		
(Last)	(First)	(Middle)
	ND AVENUE, 28TH	
(Street) LOS ANGELES	СА	90071
(City)	(State)	(Zip)
1. Name and Address OAKTREE CA	of Reporting Person [*]	<u>GEMENT LP</u>
(Last)	(First)	(Middle)
	APITAL MANAGEI ND AVENUE, 28TH	
(Street) LOS ANGELES	СА	90071
(City)	(State)	(Zip)
1. Name and Address Oaktree Capita	1 0	
(Last)	(First)	(Middle)
	APITAL MANAGEI ND AVENUE, 28TH	
(Street) LOS ANGELES	СА	90071
(City)	(State)	(Zip)
1. Name and Address Atlas OCM Ho		
	(First) APITAL MANAGE ND AVENUE, 28TH	-
(Street) LOS ANGELES	СА	90071
(City)	(State)	(Zip)
1. Name and Address Oaktree Capita	of Reporting Person [*] l Group Holdings	s <u>, L.P.</u>
(Last) 333 SOUTH GRA	(First) ND AVENUE, 28TH	(Middle) I FLOOR

(Street) LOS ANGELES	CA	90071
(City)	(State)	(Zip)

Explanation of Responses:

1. Represents common stock disposed of in connection with the public offering of Array Technologies, Inc.'s common stock, which closed on December 7, 2020. These shares of common stock were disposed at a price per share of \$33.95, which is the public offering price per share less underwriting discount.

2. This Form 4 is being filed jointly by (each, a "Reporting Person" and, collectively, the "Reporting Persons") (i) Oaktree Power Opportunities Fund IV, L.P. (the "Main Fund"); (ii) Oaktree Power Opportunities Fund IV (Parallel), L.P. (the "Parallel Fund"); (iii) Oaktree ATI Investors, L.P. (the "Co-Invest Fund"); (iv) Oaktree Capital Management, L.P. ("OCM"); (v) Oaktree Capital Group, LLC ("OCG"); (vi) Atlas OCM Holdings LLC ("Atlas OCM"); (vii) Brookfield Asset Management, Inc. ("Brookfield"); and (viii) Oaktree Capital Group Holdings, L.P. ("OCGH LP").

3. The Main Fund, the Parallel Fund and the Co-Invest Fund are together the controlling member of ATI Investment Parent, LLC ("Parent"). We refer to the Main Fund, the Parallel Fund and the Co-Invest Fund, collectively, as the "Oaktree Funds." OCM is the investment manager of each of the Oaktree Funds. As a result, each of the Oaktree Funds and OCM may be deemed to have beneficial ownership of the shares owned by Parent. OCM's asset management business is indirectly controlled by OCG and Atlas OCM. As of March 31, 2020, approximately 61.8% of OCM's business is indirectly owned by Brookfield and the remaining approximately 38.2% is owned by current and former OCM executives and employees. Brookfield's ownership interest in OCM's business is held through OCG, Atlas OCM and other holding entities.

4. The current and former OCM executives and employees hold their interests through a separate entity, OCGH LP. The board of directors of OCG and of Atlas OCM is currently comprised of: (i) five Oaktree senior executives, Howard S. Marks, Bruce A. Karsh, Jay S. Wintrob, John B Frank, and Sheldon M. Stone; (ii) three independent directors, Stephen J. Gilbert, D. Richard Masson, and Marna C. Whittington; and (iii) two Brookfield senior executives, Justin B. Beber and J. Bruce Flatt.

5. Each of the Reporting Persons expressly disclaims beneficial ownership of the equity securities reported herein, except to the extent of their respective pecuniary interests therein, and the filing of this Form 4 shall not be construed as an admission that any such Reporting Person is the beneficial owner of any equity securities covered by this Form 4.

6. The reporting persons are jointly filing this Form 4 pursuant to Rule 16a-3(j) under the Exchange Act.

Remarks:

Exhibit 99.1 Signatures.

<u>/s/ Charlotte MacVane, as</u>	
Attorney-in-Fact for ATI	<u>12/07/2020</u>
<u>Investment Parent, LLC</u>	
See Signatures Included in	12/07/2020
Exhibit 99.1	12/07/2020
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Oaktree Power Opportunities Fund IV, L.P. Oaktree Power Opportunities Fund IV GP, L.P. By: General Partner Its: By: Oaktree Fund GP, LLC General Partner Its: Oaktree Fund GP I, L.P. By: Its: Managing Member By: /s/ Henry Orren ----------Name: Henry Orren Title: Authorized Signatory Oaktree Power Opportunities Fund IV (Parallel), L.P. By: Oaktree Power Opportunities Fund IV GP, L.P. Its: General Partner Oaktree Fund GP, LLC Bv: General Partner Its: Oaktree Fund GP I, L.P. By: Its: Managing Member /s/ Henry Orren By: Name: Henry Orren Title: Authorized Signatory Oaktree ATI Investors, L.P. Oaktree Power Opportunities Fund IV GP, L.P. By: General Partner Its: Oaktree Fund GP, LLC By: General Partner Its: Oaktree Fund GP I, L.P. By: Its: Managing Member /s/ Henry Orren By: -----Name: Henry Orren Title: Authorized Signatory Oaktree Capital Management, L.P. By: /s/ Henry Orren -----Name: Henry Orren Title: Vice President Oaktree Capital Group, LLC By: /s/ Henry Orren Name: Henry Orren Title: Vice President Atlas OCM Holdings, LLC By: /s/ Henry Orren

Name: Henry Orren Title: Vice President

By:	/s/ Jessica Diab
	Jessica Diab Vice President - Legal & Regulatory

Oaktree Capital Group Holdings, L.P.

By: Oaktree Capital Group Holding GP, LLC Its: General Partner

By: /s/ Henry Orren

Name: Henry Orren Title: Vice President