SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	den
hours per response:	0.5

Instruction 1	.(b).	File	d pursuant to Section 16	i(a) of the Sec	urities Exchange Act of 1934				
		1.10							
	Idress of Reporting F						ationship of Rep < all applicable)	porting Person(s) to	Issuer
Fusaro Jan	<u>nes MacMillaı</u>	<u>n</u>	Array Technologies, Inc. [ARRY] (Check all app X Direct X Office below 08/20/2021 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Line) X Form Form			Director	10%	Owner	
(Last)	(First)	(Middle)		ansaction (Mo	nth/Day/Year)	X	Officer (give below)	title Other below	(specify /)
			08/20/2021				Chief E	f Executive Officer	
(Street)			4. If Amendment, Da	te of Original F	iled (Month/Day/Year)		vidual or Joint/C	Group Filing (Check	Applicable
ALBUQUE	RQUE NM	87109				X	Form filed by	y One Reporting Pe	rson
(City)	(State)	(Zip)				Form filed by More than One Reporting Person			porting
		Table I - Non-Deriva	ative Securities A	cquired, D	isposed of, or Benef	icially	Owned		
1. Title of Secu	rity (Instr. 3)	2. Transactio	n 2A. Deemed	3.	4. Securities Acquired (A) or	r	5. Amount of	6. Ownership	7. Nature

1. The of Security (insu. 3)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr.					Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11341.4)
Common Stock, par value \$0.001 per share	08/20/2021		J		102,371 ⁽¹⁾⁽²⁾⁽³⁾	Α	\$ <mark>0</mark>	285,143	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes 60,924 shares of common stock issuable pursuant to a restricted stock unit award that will vest in three equal annual installments, beginning on the first anniversary of October 19, 2020, as previously reported on a Form 4 filed by the Reporting Person on October 19, 2020.

2. Includes 36,745 shares of common stock issuable pursuant to a restricted stock unit award that will vest in three equal annual installments, beginning on the first anniversary of March 2, 2021, as previously reported on a Form 4 filed by the Reporting Person on March 4, 2021.

3. Includes 4,702 shares of common stock issuable pursuant to a restricted stock unit award that will vest in three equal annual installments, beginning on the first anniversary of March 12, 2021, as previously reported on a Form 4 filed by the Reporting Person on March 15, 2021.

Remarks:

/s/ Nipul Patel, as Attorney-in-08/20/2021

Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)